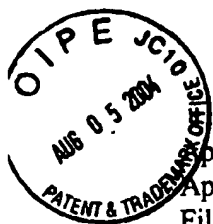


08-06-04

1/5W



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/698,760 Confirmation No.: 2840  
Applicant : James Kellett et al.  
Filed : October 30, 2003  
TC/A.U. : 3731  
Examiner : Webb, Sarah K.  
Title : EMBOLECTOMY DEVICES  
Docket No. : 1001.1694101  
Customer No. : 28075

**TRANSMITTAL SHEET**

Mail Stop AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of : EL983782418US, in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5 day of August, 2004.

By

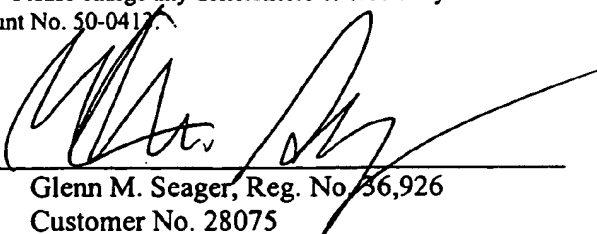
  
JoAnn Lindman

We are transmitting herewith the attached:


- ☒ Supplemental Information Disclosure Statement.
- ☒ Form 1449 with Four (4) references.
- ☒ Certification for Information Disclosure Statement Under 37CFR§1.97(e).
- ☒ Postcard receipt.

Please consider this transmittal as a Petition for Extension of Time for a sufficient number of months to enter these papers or any future reply, if necessary. Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0412.

By:

  
Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

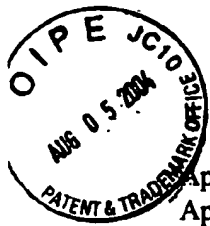
<b>FORM 1449*</b>		<b>Docket Number:</b>	<b>Application Number:</b>
 <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (Use several sheets if necessary)		1001.1694101	10/698,760
		Applicant: James Kellett et al.	
		Filing Date: October 30, 2003	Group Art Unit: 3731

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,494,885	12/2002	Dhindsa		
	2002.0010487 A1	01/2002	Evans et al.		

FOREIGN PATENT DOCUMENTS					
	WO 03/015840 A2	02/27/2003	PCT		
	EP 0 472 368 A2	02/26/1992	EPO		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/698,760 Confirmation No.: 2840  
Applicant : James Kellett et al.  
Filed : October 30, 2003  
TC/A.U. : 3731  
Examiner : Webb, Sarah K.  
Title : EMBOLECTOMY DEVICES  
Docket No. : 1001.1694101  
Customer No. : 28075

Mail Stop AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of : EL983782418US , in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5 day of August, 2004.

By

  
JoAnn Lindman

Dear Sirs:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

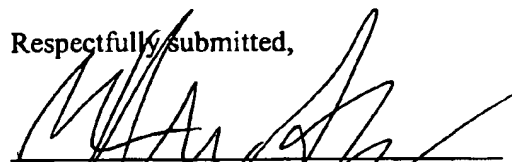
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Dated:

Aug. 5, 2004

Respectfully submitted,

  
Glenn M. Seager, Reg. No. 36,926  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/698,760 Confirmation No.: 2840  
Applicant : James Kellett et al.  
Filed : October 30, 2003  
TC/A.U. : 3731  
Examiner : Webb, Sarah K.  
Title : EMBOLECTOMY DEVICES  
Docket No. : 1001.1694101  
Customer No. : 28075



**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97(e)**

Mail Stop AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of : EL983782418US , in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5 day of August, 2004.

By \_\_\_\_\_

  
JoAnn Lindman

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

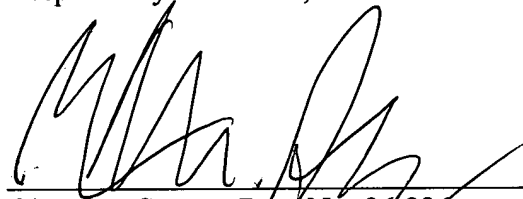
application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).

-----or

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Dated: Aug. 5, 2004

  
\_\_\_\_\_  
Glenn M. Seager, Reg. No. 36,926  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2402  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349